COMPLIANCE REQUEST

MAKING TRADE COMPLIANCE EVERYONE'S RESPONSIBILITY

OCR Solutions Compliance Request

Making Trade Compliance Everyone's Responsibility

OCR's Compliance Request module helps companies prevent infractions by providing uniform and efficient workflows for requests for foreign travel and the import and export of hardware, technology, and services. The Compliance Request process helps businesses identify compliance concerns early-on, efficiently route them to appropriate personnel, and address them after an exchange of information with stakeholders.

Key Benefits

- Configurable, web-based forms custom-designed to support requests for export, import, and re-export, bids and proposals, and the hiring of foreign nationals

- Two-way communication between business staff, compliance staff, and other stakeholders to minimize duplication of effort and miscommunication

- Import data from Compliance Requests into actual license applications to avoid duplicate data entry and increase productivity

- Request templates reduce total time spent preparing license applications and other transaction-related materials

- Authorize exports and imports using DOS Exemptions, DOC Exceptions, general export permits, and/or existing licenses and Agreements

- Seamlessly document decisions by compliance staff and communicate them to stakeholders

With over 35 years of experience OCR is the leading provider of trade management solutions globally

About OCR

As the foremost expert on Governance, Risk and Compliance (GRC) for international trade, OCR is trusted by Fortune 500 multi-national corporations, government agencies, and small businesses worldwide, to ensure compliance for cross-border transactions through a comprehensive solution that improves operations, complies with government regulations, saves money and provides a competitive advantage.

For more information or a demo, please contact us at sales@ocr-inc.com

FEATURES	BENEFITS
DOS AGREEMENT REQUESTS	▪ Data entered on the Agreement Request form can be instantly imported into Agreement applications in OCR's DOS Licensing module. Once in DOS Licensing, the data on the application can be electronically submitted to D-Trade. ▪ Agreement Request form is dynamic and will display different fields and questions based on the type of Agreement (Amendment or Re-Baseline). ▪ Expedites the process of capturing relevant data such as Scope and Statement of Work, Valuation, Type of Export/Import, and Foreign Parties.
FOREIGN TRAVEL REQUESTS	▪ Limit liability by addressing compliance concerns before travel plans are approved ▪ Allows compliance personnel to identify license or export-related issues early in the travel cycle and helps employees obtain necessary authorizations from Customs and/or licensing agencies ▪ Allows Requestors and Reviewers to specify dates, nature of visits, and whether technical data exports, services, hardware, or other equipment are involved ▪ Electronic review process avoids duplicate data entry, reduces typographical errors, and increases productivity ▪ Generate automated travel approval letters with appropriate authorizations for employees hand-carrying tools, equipment, and/or technical data ▪ Manage follow-up actions such as post-travel briefings, travel reports, and export records
SECURE COMMUNICATION	▪ OCR's Compliance Request module and the entire EASETM application support secure access protocols and user authentication to Active Directory using LDAP, SAML 2.0, and Single Sign-On (SSO) tools ▪ Compliance Request forms support any secure 256-bit encrypted transmission and storage in a Relational Database Management System (RDBMS) such as MS SQL or Oracle
EASY-TO-USE WEB-BASED WORKFLOW	▪ Employees can access Compliance Request forms via a trade compliance-defined portal page ▪ Use templates and existing database Tables to minimize data entry ▪ Real-time collaboration between business and trade compliance staff such as Empowered Officials ▪ Comprehensive searching and sorting features to assist data retrieval
MANAGEMENT REPORTING	▪ Comprehensive audit trails provide complete reporting on the entire Compliance Request lifecycle ▪ Comprehensive management reports and dashboards ensure accurate internal metrics
INTEGRATION WITH THIRD PARTY SYSTEMS	▪ EASE is an open standards, Java-based application which can be integrated seamlessly and in real-time with Oracle, SAP, and other enterprise systems such as ERP, CRM, and Supply Chain Management ▪ Integration support is available for any system (including client-developed software)

Trade Compliance is Your Responsibility, Automating the Process is Ours

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