

WATCH LIST SCREENING

THE LINK BETWEEN YOUR BUSINESS AND SAFE TRANSACTIONS

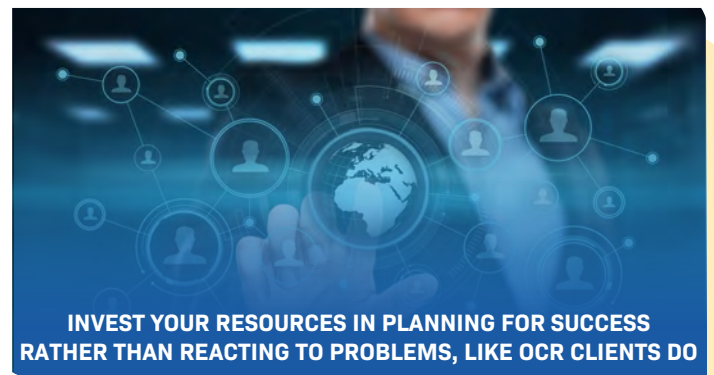
OCR Solutions Watch List Screening

The Link Between Your Business and Safe Transactions

The Watch List Screening module provides corporations with the ability to check all individuals, businesses and countries against government and non-government most current restricted, denied, or prohibited party lists to ensure no business transactions occur with an entity that is prohibited by law. Furthermore, the Watch List Screening module offers a complete audit trail to demonstrate your due diligence was performed properly.

Key Benefits

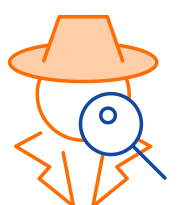
- Screen thousands of names instantly
- Automatic daily update of watch lists from various agencies
- Create reports and audit trails at the click of a button
- Eliminate time-consuming, repetitive data entry
- Streamline and simplify your watch list compliance processes, while reducing overall cost
- Integrate with third party systems seamlessly

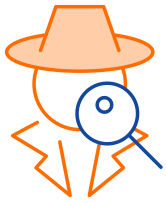


About OCR

As the foremost expert on Governance, Risk and Compliance (GRC) for international trade, OCR is trusted by Fortune 500 multi-national corporations, government agencies, and small businesses worldwide, to ensure compliance for cross-border transactions through a comprehensive solution that improves operations, complies with government regulations, saves money and provides a competitive advantage.

For more information or a demo, please contact us at sales@ocr-inc.com





FEATURES	BENEFITS
ACCESS TO WATCH LISTS	<ul style="list-style-type: none"> Most comprehensive set of worldwide lists from government and non-government sources For trade & financial sanctions, embargoes, anti-money laundering, foreign corrupt practices, law enforcement, Politically Exposed Parties (PEP), and medical & pharmaceutical exclusions, and more Identification of substantial (50% rule) shareholders, cross- ownership, “doing business as,” and related parties to your entity for enhanced screening Utilize your own custom black lists for internal compliance and diligence custom lists Add lists at any time without impacting previous screening case resolution
HIGHLY ADVANCED SEARCH ALGORITHMS	<ul style="list-style-type: none"> Fuzzy logic support with customizable parameters for different attributes Add Matching on partial and similar names (e.g. DBA identification, nicknames, and synonyms)
SCREENING LOGIC & WORKFLOWS	<ul style="list-style-type: none"> Notify users of screening status with detailed results and automated workflows Incrementally screen against the entire Watch List or incremental updates Employ micro-categorization and risk scoring strategies to decrease false positives Supports client customization, special rules, and custom lists (i.e. internal black lists) OCR’s customizable re-screening criteria allows you to determine when to re-screen due to changes in your records (i.e. shipping dates) or the various denied party lists; resulting in a more accurate hit rate
SCREENING OPTIONS	<ul style="list-style-type: none"> Real-time transaction screening of multiple names and aliases, address and/or country, in order to cover all possible combinations Screening using batch file uploads, ad hoc, database, and web API service Schedule automatic nightly screenings on stored data against specified lists
REPORTING OPTIONS FOR ANY AUDIT	<ul style="list-style-type: none"> Extensive set of standard and custom reports <ul style="list-style-type: none"> Internal reports include case-by-case screening and history Specialized reports on any business partner database including customers and vendors, denied parties lists and more Comprehensive audit trails for agency and internal policy reviews Tracking of reasons for denial or override, personnel adjudicating specific hits and which Watch Lists were screened against
EASY-TO-USE DASHBOARD	<ul style="list-style-type: none"> Multiple levels of access privileges Schedule automatic batch screenings or perform ad hoc screenings Choose entities to screen and lists to screen against Customizable access and override privileges and alert notifications Define and schedule reports Review details of hits from screenings upload lists
INTEGRATION WITH THIRD PARTY SYSTEMS	<ul style="list-style-type: none"> Open standards, Java-based application Seamless real-time integration with Oracle, SAP, and other ERP, CRM, Supply Chain Management, or other enterprise systems Integration support for any system including client developed software
SECURE COMMUNICATION	<ul style="list-style-type: none"> Support secure access protocols and user authentication to Active Directory using LDAP, SAML 2.0, and Single Sign-On (SSO) tools Secure web forms support any secure 256 bit encrypted transmission and storage in a Relational DataBase Management System (RDBMS), such as MS, SQL, or Oracle
MOBILE APPLICATION	<ul style="list-style-type: none"> Screen anytime, receive alerts, respond to hits, and review reports from the convenience of a smart phone or tablet

Trade Compliance is Your Responsibility, Automating the Process is Ours



sales@ocr-inc.com
www.ocr-inc.com



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