OCR TECH DATA & SERVICES MANAGEMENT

REDUCE RISK IN MANAGE TECHNICAL DATA EXPORTS WITH CONFIDENCE

Every year the U.S Department of State uncovers hundreds of export violations, with unauthorized exports of technical data being one of the most common. Even with manufacturer or technical assistant agreements (MLAs and TAAs), the data shared often exceeds the allowable scope or was exported to unauthorized individuals. Without the proper controls these errors may also lead to unauthorized performance of defense services.

Export Control Reform (ECR) has intensified these challenges as the U.S Department of Commerce now has jurisdiction over more technology restricted for export. Under current regulations even high-level encryption is not an adequate security measure by itself. Each company must control who receives their export restricted data.

OCR TDSM™ (Tech Data & Services Management) assists with end-to-end export workflow of technical data/technology (tech data) and defense services. It is a single solution capable of meeting operational, compliance, regulatory and monitoring requirements, with required oversight and recordkeeping to meet USG requirements.

KEY BENEFITS

- Establish a standardized process and customized workflow for sharing tech data
- Increase compliance oversight throughout the TDSM request and export process
- Systematically log exports related to specific government authorizations
- Automatically screen recipients against global denied party lists
- Securely communicate with Managed File Transfer (MFT)
- Automated capture of critical export compliance data for quick response to audits
- Improve management visibility with configurable dashboards and reports

OCR
TECH DATA & SERVICES MANAGEMENT

TDSM FUNDAMENTAL
- Allows for multiple levels of review providing comprehensive data transfer requests
- Provides an easy-to-use, standardized yet configurable workflow process to submit tech data and defense service requests for internal review
- Create single or multiple layers of approvers to match your process, including Technical Advisors and Trade Compliance Managers
- Manage export transactions for U.S., EU, and other national country export controls

DASHBOARD AND METRICS
- Monitor status Tech Data & Service Export Authorization Requests status at enterprise or business unit level
- Set alerts for key metrics :such as, cycle time, accuracy and volume of pending reviews

KEY FEATURES
- TDS export requests requests will capture all tech data export information, including recipient company or individuals, export dates, single/ multiple exports, tangible or intangible exports, methods of shipment, jurisdiction and classification
- Integrated denied party screening for all data transfer or defense service recipients

- Support of tangible and intangible exports of tech data including meetings and plant tours
- Manage export requests, and support submission and tracking of governmental authorizations:
    - o U.S. State Dept. License or Agreement
    - o U.S. Commerce Dept. License
    - o Exemptions or Exceptions Logs
    - o Additional Government Authorizations
- Smart web forms minimize repetitive data entry
- Automatic verification of export authorizations against customer/end-user and product databases
- Communicate to Defense Technology Security Administration (DTSA) when oversight approval is required
- Provide required government reports including export logs for licenses and permits
- Identify foreign data, country of jurisdiction, and associated classification
- Determine data subject to U.S. re-export control laws based on De Minimis requirements applicable to U.S. subsidiaries located within and outside the U.S.

EUROPEAN TECH DATA EXPORT REPORTS
- UK Open General Export Licences (OGELs)
- UK Standard Individual Export Licence (SIEL)
- EU General Export Authorisations (GEAs)
- France - Licence Générale
- Germany - Allgemeine Genehmigung
- Netherland - Nationale Algmene Uitvoervergunning

MANAGED FILE TRANSFER (MFT)
- Transfer files to 3rd party Document Management System (DMS) replacing tech data with links to files
- Access permissions from DMS applied to TDSM
- Specify locations where documents will ultimately reside within a secure network
- Configurable trigger points from TDSM request approval to MFT tool, such as, recording the method of transfer
- Audit the transfer process to the data recipient

SECURE COMMUNICATIONS
- Support secure access protocols and user authentication to Active Directory using LDAP, SAML 2.0 and Single Sign-On (SSO) tools
- Secure web forms support any secure 256 bit encrypted transmission and storage in a Relational DataBase Management System (RDBMS), such as, MS SQL or Oracle

INTEGRATION WITH THIRD PARTY SYSTEMS
- Open standards, Java-based application
- Seamless real-time integration with Oracle, SAP, and other ERP, CRM, Supply Chain Management or other enterprise systems
- Integration support for any system including those client developed software

ENHANCED CAPABILITIES WITH EASE
- Access to pre-screened partner/vendor information from the EASE™ database
- Automatic data sharing among modules:
  - Transfer Data Recipient information shared with the EASE™ Licensing module
  - Export module for Export Log with complete audit of all deemed exports as well as physical deliveries
  - Licensing Management module to decrement associated licenses
  - Agreement Management module to log all agreement associated exports
- OCR ViaNet to access regulations:
  - Up-to-date trade data and regulatory tables from government agencies
  - DDTC guidance and expectations on how to control technical data
  - Compliance with restrictions governing technical discussions
  - Record requirements for technical data exports

ABOUT OCR

As the foremost expert on Governance, Risk and Compliance (GRC) for international trade, OCR is trusted by Fortune 500 multi-national corporations, government agencies, and small businesses worldwide, to ensure compliance for cross-border transaction through a comprehensive solution that improves operations, complies with government regulations, saves money and provides a competitive advantage.

EXPORT AND IMPORT WITH EASE

EASE SUITE OF SOLUTIONS

The Tech Data & Services Management module is one of 16 modules in the OCR EASE suite of products

Expedite Trade Flow
Avoid Regulatory Fines
Save Operating Costs
Enhance Supply Chain Security

EASE is a suite of scalable and configurable solutions designed to help clients automate and simplify their cross-border trading operations and address ever-changing international regulations.

All EASE modules are easily integrated with each other and third party solutions. They will automatically share data and documents seamlessly.

EASE software can be installed behind a firewall or implemented as a hosted service. Our Software as a Service (SaaS) platform operate in secure global data centers which are SSAE Certified with redundant failover and high availability bandwidth with full data encryption.
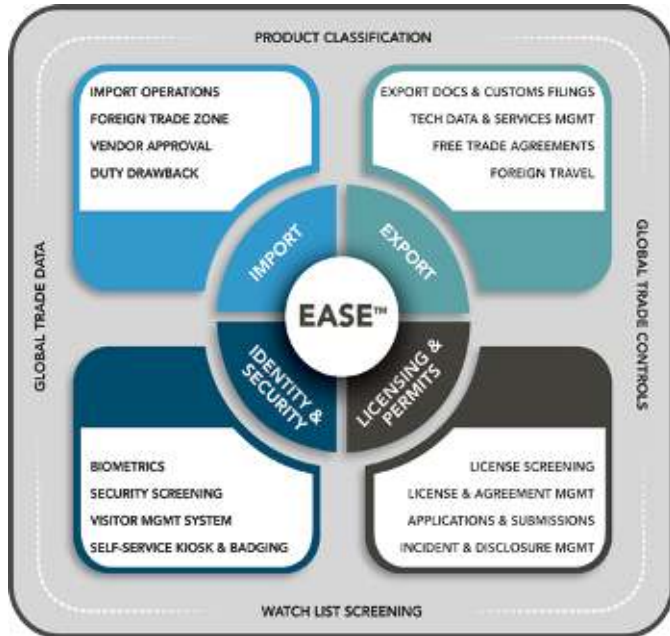
GLOBAL OFFICES

CORPORATE
Washington DC
📞 +1 301 208 0700
sales@ocr-inc.com

MIDDLE EAST
Dubai
📞 +971 4 3618349
globalsales@ocr-inc.com

EUROPE
London
📞 +44 0207 071 5152
london@ocr-inc.com

ASIA
New Delhi
📞 +91 124 4188271
india@ocr-inc.om

Call Toll Free : +1-800-333-7864 (in U.S.)

For complete office locations and contact information please visit www.ocr-inc.com/contact-us/