



WATCH LIST SCREENING

SECURE YOUR BUSINESS BY
KNOWING YOUR CUSTOMER

OCR's Watch List Screening (WLS) module provides corporations with the ability to check all individuals, businesses and countries against government and non-governments' most current restricted, denied, or prohibited party lists, to ensure no business transactions occur with an entity that is prohibited by law. It is a powerful, configurable solution that addresses specific areas of Governance, Risk and Compliance (GRC) in your organization. With one central solution, your screening processes can access the most trusted watch list databases and ensure compliance. The WLS module offers a complete audit trail to demonstrate your due diligence was performed properly.

Benefits to the Business

- Access data trusted by government agencies, non-government agencies, and companies of all sizes.
- Automatically retrieve current regulatory information.
- Create reports and audit trails at the click of a button.
- Eliminate time-consuming, repetitive data entry with automated batch screening.
- Enhance accountability - determine the personnel who conducted the screening, when the screening took place, and which watch list tables were used.
- Meet all your due diligence requirements and also avoid penalties, bad publicity and delays.
- Easily screen hundreds of names in a short span of time against parties restricted or excluded from global export control lists, US federal procurement from GSA, embargoed and boycotted countries, and internal backlists.
- Receive an automatic daily update of watch lists from various agencies.
- Seamlessly integrate with third party systems.

WLS offers a wide range of implementation options, ranging from web-based screening of individual entities to full enterprise screening, capable of automatically blocking suspect transactions. Depending on your business process rules, volume of transactions and existing ERP system, we offer a variety of options to help you comply with Denied Party Screening requirements.

About OCR

OCR Services is a leading provider of Global Trade Management Solutions. As an expert in Governance, Risk, and Compliance, OCR is trusted by companies of all sizes, including Fortune 500 corporations, small to medium sized businesses and government agencies worldwide. The EASE platform ensures compliance and efficiency for cross-border transactions through a comprehensive solution with multiple customizable modules to help streamline your business processes.



FEATURES	BENEFITS
ACCESS TO WATCH LISTS	<ul style="list-style-type: none"> Access the most comprehensive set of worldwide lists from government and non-government sources for trade & financial sanctions, embargoes, anti-money laundering, foreign corrupt practices, law enforcement, Politically Exposed Parties (PEP), and medical & pharmaceutical exclusions, and more Identify (50% rule) shareholders, cross- ownership, “doing business as,” and related parties to your entity for enhanced screening Utilize your own custom black lists and diligence custom lists for internal compliance Add lists at any time without impacting previous screening case resolution
HIGHLY ADVANCED SEARCH ALGORITHMS	<ul style="list-style-type: none"> Fuzzy logic support with customizable parameters for different attributes Add matching on partial and similar names (e.g. DBA identification, nicknames, and synonyms)
SCREENING LOGIC & WORKFLOWS	<ul style="list-style-type: none"> Notify users of screening status with detailed results and automated workflows Incrementally screen against the entire Watch List or work with incremental updates Employ micro-categorization and risk scoring strategies to decrease false positives Support client customization, special rules, and custom lists (i.e. internal black lists) Determine when to re-screen due to changes in your records (i.e. shipping dates), resulting in a more accurate hit rate
SCREENING OPTIONS	<ul style="list-style-type: none"> Perform real-time transaction screening of multiple names and aliases, addresses and/or countries, in order to cover all possible combinations Screen using batch file uploads, ad hoc, database, and web API service Schedule automatic nightly screenings on stored data against specified lists
REPORTING OPTIONS FOR ANY AUDIT	<ul style="list-style-type: none"> Create an extensive set of standard and custom reports <ul style="list-style-type: none"> Internal reports include case-by-case screening and history Specialized reports on any business partner database including customers and vendors, denied parties lists and more View comprehensive audit trails for agency and internal policy reviews Utilize tracking of reasons for denial or override, personnel adjudicating specific hits and which Watch Lists were screened against
EASY-TO-USE DASHBOARD	<ul style="list-style-type: none"> Gain multiple levels of access privileges Schedule automatic batch screenings or perform ad hoc screenings Choose entities and lists to screen against Work with customizable access, override privileges and alert notifications Define and schedule reports Review details of hits from screenings’ upload lists
INTEGRATION WITH THIRD PARTY SYSTEMS	<ul style="list-style-type: none"> Open standards, Java-based application Acquire seamless real-time integration with Oracle, SAP, and other ERP, CRM, Supply Chain Management, or other enterprise systems Integration support for any system including client developed software
SECURE COMMUNICATION	<ul style="list-style-type: none"> Support secure access protocols and user authentication to Active Directory using LDAP, SAML 2.0, and Single Sign-On (SSO) tools Secure web forms support any secure 256-bit encrypted transmission and storage in a Relational DataBase Management System (RDBMS), such as MS, SQL, or Oracle
MOBILE APPLICATION	<ul style="list-style-type: none"> Screen anytime, receive alerts, respond to hits, and review reports from the convenience of a smartphone or tablet

For more information or a demo, please contact us at sales@ocr-inc.com



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